

## CARNOT CYCLE

Reversible cycles cannot be achieved in practice because the irreversibilities associated with each process and cannot be eliminated. Reversible cycles also serve as starting points in the development of actual cycles and are modified as needed.

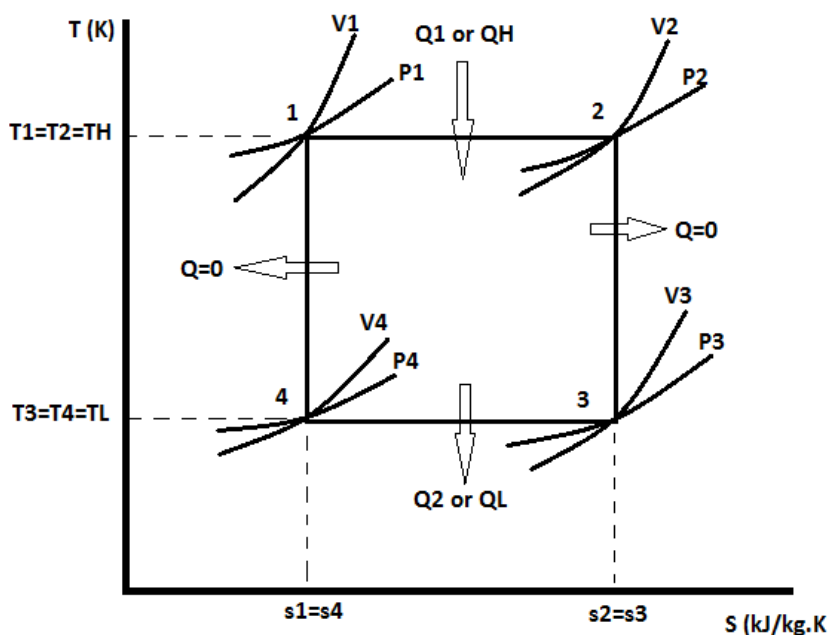
Probably the best known reversible cycle is the **Carnot cycle**, first proposed in 1824 by French engineer Carnot. The theoretical heat engine that operates on the Carnot cycle is called the **Carnot heat engine**.

The Carnot cycle is composed of four reversible processes and it operates in clockwise.

Heat flows in the direction of decreasing temperature from  $T_H$  to  $T_L$ .

Two reversible isothermal and two reversible adiabatic (isentropic) processes can be executed either in a closed or a steady-flow system.

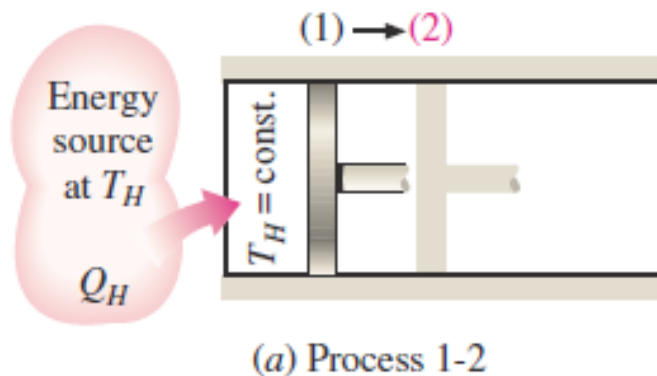
Working Fluid: Ideal gas



Consider a closed system that consists of a gas contained in an adiabatic piston-cylinder device. The insulation of the cylinder head is such that it may be removed to bring the cylinder into contact with reservoirs to provide heat transfer. The four reversible processes that make up the Carnot cycle are as follows:

### A- Reversible Isothermal Expansion

(process 1-2,  $T_1=T_2=T_H=\text{constant}$ ). Initially (state 1), the temperature of the gas is  $T_H$  and the cylinder head is in close contact with a source at temperature  $T_H$ . The gas is allowed to expand slowly, doing work by the system. As the gas expands, the temperature of the gas tends to decrease. But as soon as the temperature drops by an infinitesimal amount  $dT$ , some heat is transferred from the reservoir into the gas, raising the gas temperature to  $T_H$ . Thus, the gas temperature is kept constant at  $T_H$ . Since the temperature difference between the gas and the reservoir never exceeds a differential amount  $dT$ , this is a reversible heat transfer process. It continues until the piston reaches position 2. The amount of total heat transferred to the gas during this process is  $Q_H$ .



$T = \text{constant}$ :  $P$  and  $V$  change during process

non-flow energy equation

$$Q - W = U_2 - U_1 = cv^*(T_2 - T_1)$$

$$U_2 - U_1 = cv^*(T_2 - T_1) = 0$$

$$Q_H = W$$

$$dW = PdV \quad P \cdot V = R \cdot T \quad P = R \cdot T / V \quad dW = R \cdot T \cdot dV / V$$

$$Q_H = W = R \cdot T_H \cdot \ln(V_2 / V_1) = R \cdot T_H \cdot \ln(P_1 / P_2)$$

Only the heat added process of Carnot Cycle is the isothermal expansion process.

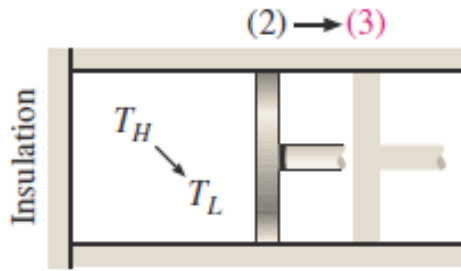
$$dS = dQ / T \quad \Delta S = (S_2 - S_1) = Q_H / T_H$$

$$\Delta S = (S_2 - S_1) = R \cdot T_H \cdot \ln(V_2 / V_1) / T_H = R \cdot T_H \cdot \ln(P_1 / P_2) / T_H \text{ cancelling } T_H \text{ terms on equations}$$

$$\Delta S = (S_2 - S_1) = R \cdot \ln(V_2 / V_1) = R \cdot \ln(P_1 / P_2)$$

### B- Reversible Adiabatic Expansion (isentropic expansion)

process 2-3, temperature drops from  $T_H$  to  $T_L$ ). At state 2, the reservoir that was in contact with the cylinder head is removed and replaced by insulation so that the system becomes adiabatic. The gas continues to expand slowly, doing work by the system until its temperature drops from  $T_H$  to  $T_L$  (state 3). The piston is assumed to be frictionless and the process to be quasi-equilibrium, so the process is reversible as well as adiabatic.



(b) Process 2-3

$$Q = 0$$

$$T_2/T_3 = (P_2/P_3)^{(\gamma-1)/\gamma} \quad T_2/T_3 = (V_3/V_2)^{(\gamma-1)} \quad P_2/P_3 = (V_3/V_2)^{\gamma-1}$$

non-flow energy equation

$$Q - W = U_3 - U_2 = c_v(T_3 - T_2)$$

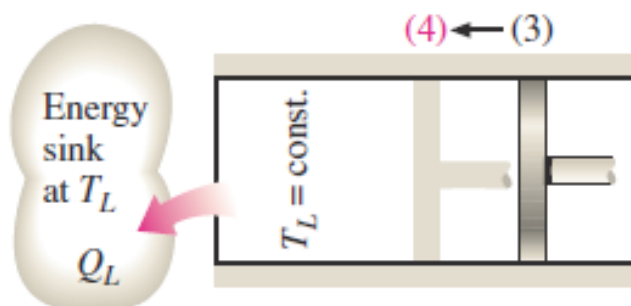
$$W = U_2 - U_3 = c_v(T_2 - T_3) \text{ or } W = R(T_2 - T_3)/(\gamma-1) \text{ or } W = (P_2V_2 - P_3V_3)/(\gamma-1)$$

$$dS = dQ/T \quad \Delta S = (S_3 - S_2) = Q/T$$

$$Q = 0 \quad \text{and} \quad \Delta S = 0 \quad S_2 = S_3$$

### C- Reversible Isothermal Compression

(process 3-4,  $T_3=T_4=T_L$  is constant). At state 3, the insulation at the cylinder head is removed, and the cylinder is brought into contact with a sink at temperature  $T_L$ . Now the piston is pushed inward by an external force, doing work on the system. As the gas is compressed, its temperature tends to rise. But as soon as it rises by an infinitesimal amount  $dT$ , heat is transferred from the gas to the sink, causing the gas temperature to drop to  $T_L$ . Thus, the gas temperature remains constant at  $T_L$ . Since the temperature difference between the gas and the sink never exceeds a differential amount  $dT$ , this is a reversible heat transfer process. It continues until the piston reaches state 4. The amount of heat rejected from the gas during this process is  $Q_L$ .



(c) Process 3-4

$T = \text{constant}$ :  $P$  and  $V$  change during process

non-flow energy equation

$$Q - W = U_4 - U_3 = c_v^*(T_4 - T_3)$$

$$U_4 - U_3 = c_v^*(T_4 - T_3) = 0$$

$$Q_L = W$$

$$dW = PdV$$

$$P^*V = R^*T \quad P = R^*T/V$$

$$dW = R^*T^*dV/V$$

$$Q_L = W = R^*T_L^*\ln(V_4/V_3) = R^*T_L^*\ln(P_3/P_4)$$

Only the heat rejected process of Carnot Cycle is the isothermal compression process.

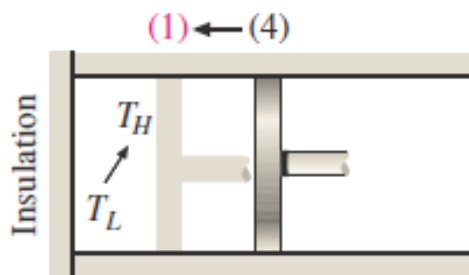
$$ds = dQ/T \quad \Delta S = (S_4 - S_3) = Q_L/T_L$$

$$\Delta S = (S_4 - S_3) = R^*T_L^*\ln(V_4/V_3)/T_L = R^*T_L^*\ln(P_3/P_4)/T_L \text{ cancelling } T_L \text{ terms on equations}$$

$$\Delta S = (S_4 - S_3) = R^*\ln(V_4/V_3) = R^*\ln(P_3/P_4)$$

#### D- Reversible Adiabatic Compression (isentropic compression)

(process 4-1, temperature rises from  $T_L$  to  $T_H$ ). State 4 is such that when the low-temperature reservoir is removed, the insulation is put back on the cylinder head, and the gas is compressed in a reversible manner, the gas returns to its initial state (state 1). The temperature rises from  $T_L$  to  $T_H$  during this reversible adiabatic compression process, which completes the cycle.



(d) Process 4-1

$$Q = 0$$

$$T_4/T_1 = (P_4/P_1)^{(Y-1)/Y} \quad T_4/T_1 = (V_1/V_4)^{(Y-1)} \quad P_4/P_1 = (V_4/V_1)^{Y-1}$$

non-flow energy equation

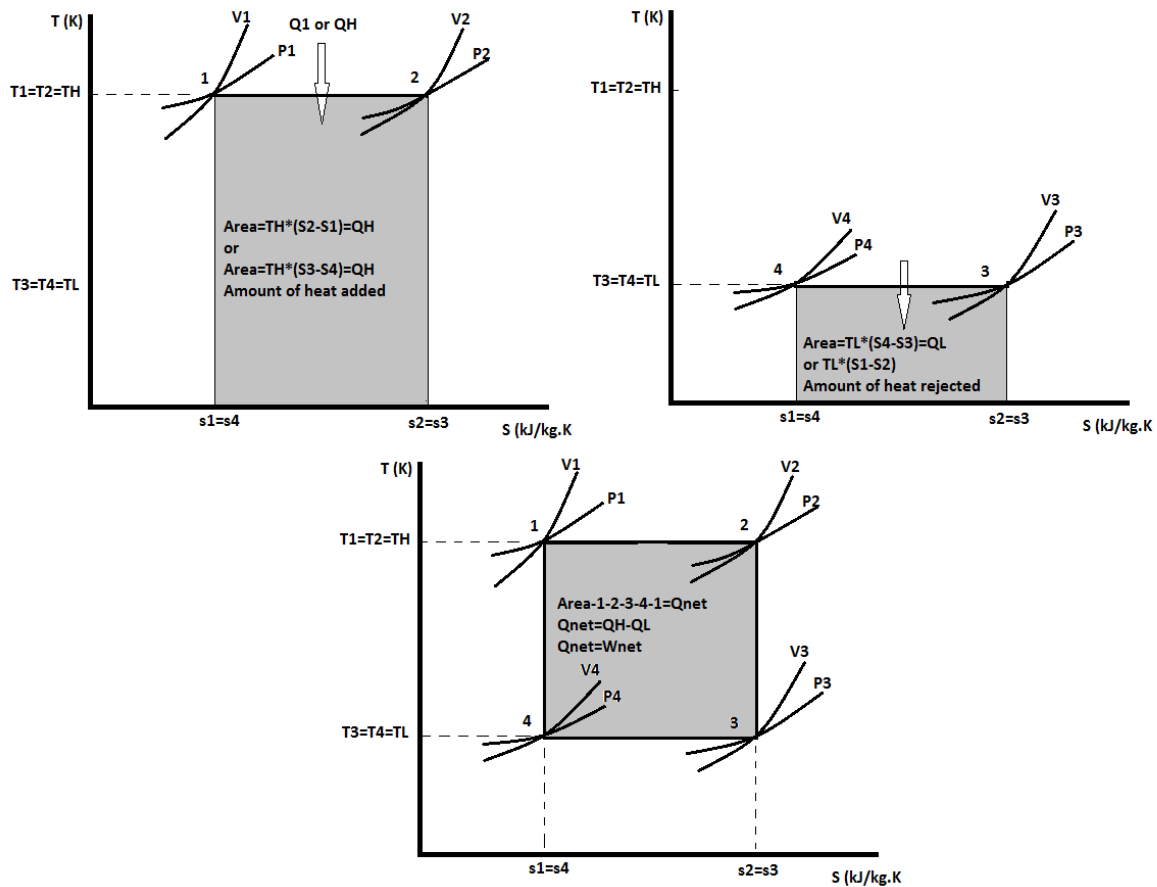
$$Q - W = U_1 - U_4 = c_v^*(T_1 - T_4)$$

$$W = U_4 - U_1 = c_v^*(T_4 - T_1) \text{ or } W = R^*(T_4 - T_1)/(Y-1) \text{ or } W = (P_4V_4 - P_1V_1)/(Y-1)$$

$$ds = dQ/T \quad \Delta S = (S_1 - S_4) = Q/T$$

$$Q = 0 \quad \text{and} \quad \Delta S = 0 \quad S_1 = S_4$$

## PROPERTIES OF CARNOT CYCLE



$$\text{Area-1-2-3-4-1} = Q_{\text{net}} = Q_H - Q_L$$

Since each process occurs in a closed system, non-flow energy equation is written around cycle

$$\text{For cycle} \quad Q - W = U_1(\text{initial state}) - U_1(\text{final state}) = cv \cdot (T_1 - T_1) = 0$$

$$Q_{\text{net}} = W_{\text{net}} \quad Q_H > Q_L \text{ then } Q_{\text{net}} \text{ or } Q_{\text{net}} \text{ added} = (Q_H - Q_L) = + \text{ and } W_{\text{net}} \text{ done by the cycle or } W_{\text{net}} \text{ out} = +$$

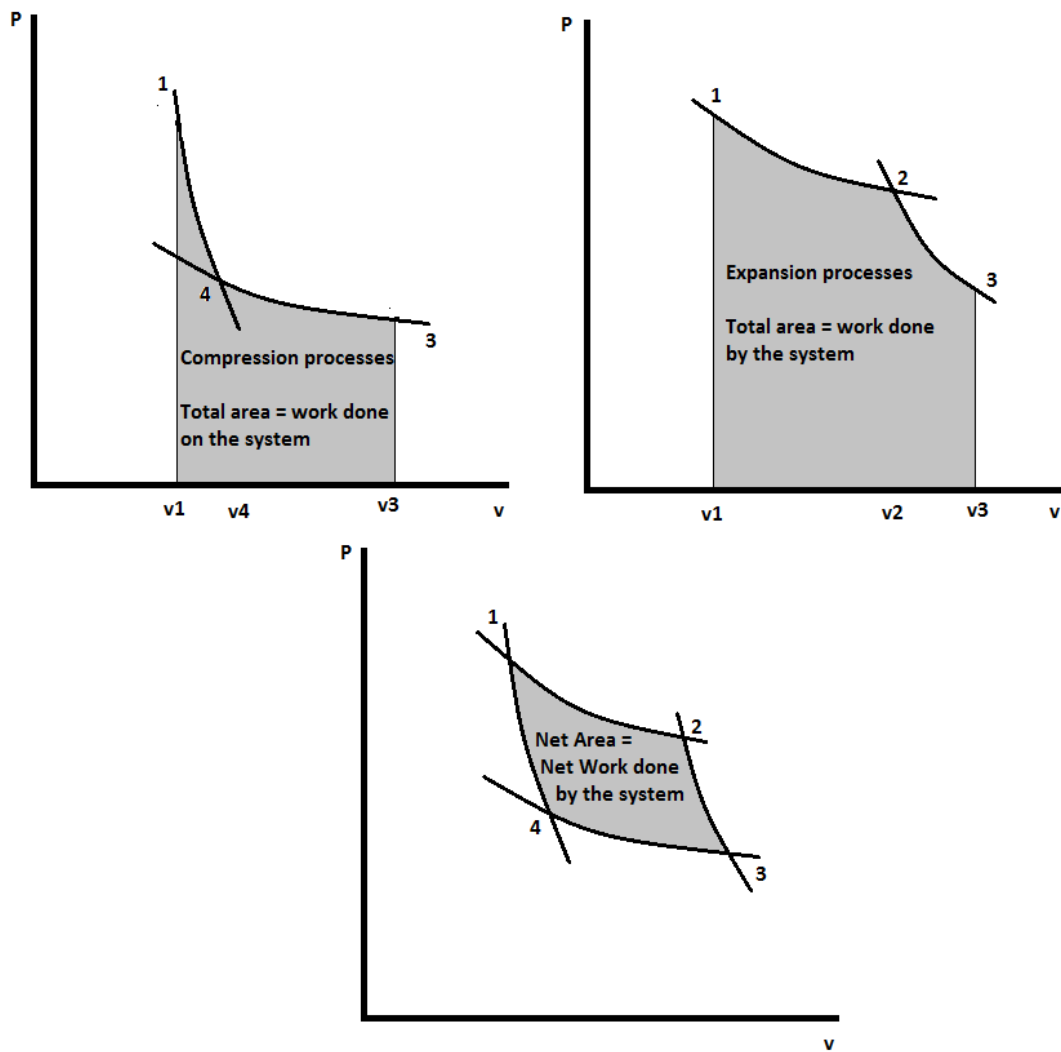
$$Q_{\text{net}} = W_{\text{net}} = (T_H - T_L) \cdot (S_2 - S_1) \text{ or } = (T_H - T_L) \cdot (S_3 - S_4) \quad \text{since } S_2 = S_3 \text{ and } S_1 = S_4$$

### Thermal efficiency of Carnot Cycle

$$\text{Thermal efficiency} = \eta = \frac{T_H - T_L}{T_H}$$

$$Q_H \text{ occurs at } T_H \text{ and } Q_L \text{ at } T_L \quad \eta = \frac{T_H - T_L}{T_H} = \frac{Q_H - Q_L}{Q_H} = \frac{Q_{\text{net}}}{Q_H} = \frac{W_{\text{net}}}{Q_H}$$

### Carnot Cycle on P-v diagram

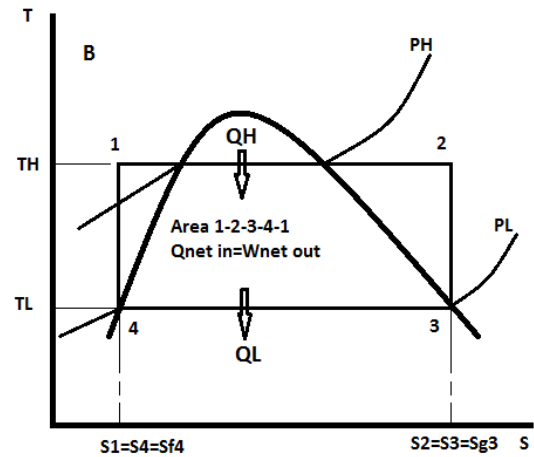
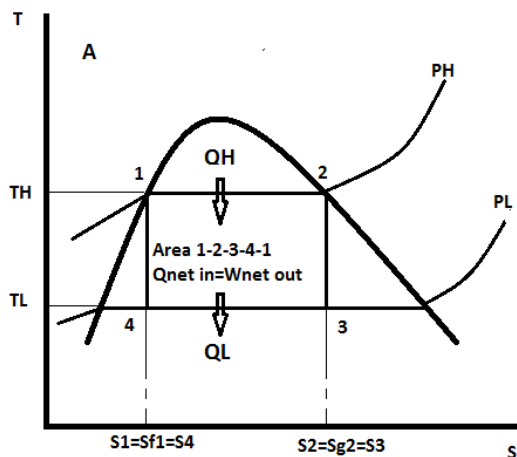


Work done by the system (gain): area under 1-2-3 (expansion processes) =  $W_{\text{gross}}$

Work done on the system (loss): area under 3-4-1 (compression processes)

Net work done by the system (area 1-2-3-4-1) =  $W_{\text{net out}}$

**Working Fluid: Water**



**A-** process 1-2: isothermal (constant temperature) expansion also constant pressure expansion, non-flow

$$Q_H - W = U_2 - U_1 \quad W = P_H \cdot (v_2 - v_1) \quad Q_H - P_H \cdot (v_2 - v_1) = U_2 - U_1 \quad Q_H = h_2 - h_1 = + h_{fg}$$

Or

$$dS = dQ/T \quad dQ = T \cdot dS \quad Q_H = T_H \cdot (S_2 - S_1) \quad Q_H = T_H \cdot S_{fg}$$

Process 3-4: isothermal (constant temperature) compression also constant pressure compression, non-flow

process needs dryness fractions at 3 and 4

$S_1 = S_{f1} = S_4$  reading  $S_1 = S_{f1}$  at  $T_H$  or  $P_H$  from saturated water table and writing equation

$$S_1 = S_{f1} = S_4 = S_{f4} + x_4 \cdot S_{fg4} \quad S_{f4} \text{ and } S_{fg4} \text{ at } T_L \text{ or } P_L \text{ from saturated water table}$$

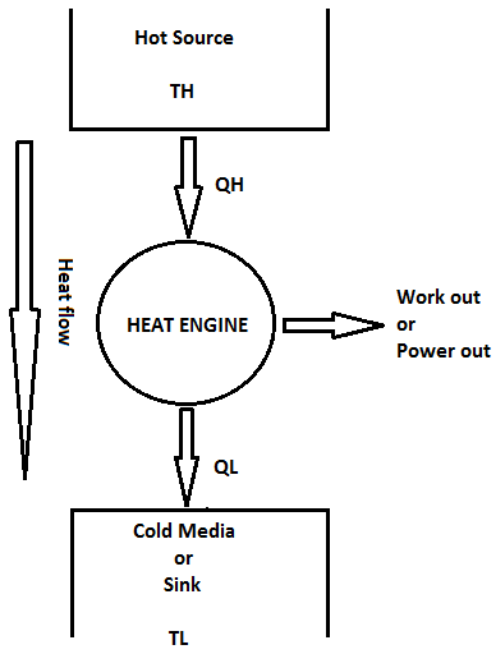
apply same procedure for point 3 to find  $x_3$

$$dS = dQ/T \quad dQ = T \cdot dS \quad Q_L = T_L \cdot (S_4 - S_3) \quad \text{or} \quad Q_L = T_L \cdot (S_1 - S_2) \quad S_1 = S_4 \text{ and } S_2 = S_3$$

$$Q_L - W = U_4 - U_3 \quad W = P_L \cdot (v_4 - v_3)$$

**B-** Similar calculations can be used as in case **A**

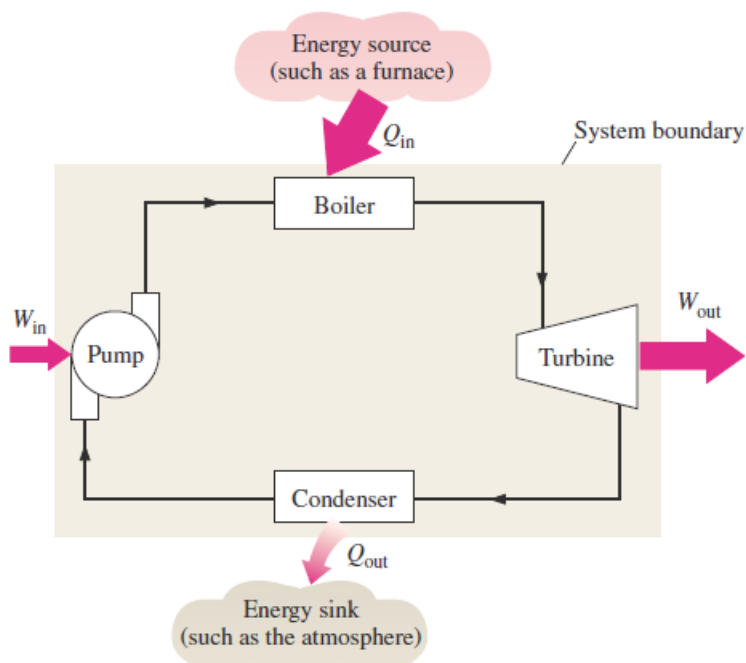
## Heat Engines



### Thermal efficiency of a Heat Engine

$$\text{Thermal efficiency} = \eta = \frac{T_H - T_L}{T_H}$$

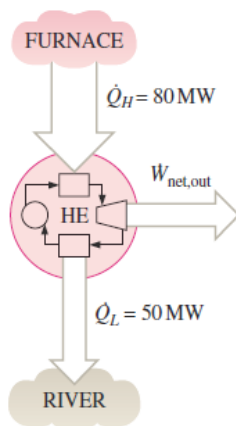
$$Q_H \text{ occurs at } T_H \text{ and } Q_L \text{ at } T_L \quad \eta = \frac{T_H - T_L}{T_H} = \frac{Q_H - Q_L}{Q_H} = \frac{Q_{\text{net}}}{Q_H} = \frac{W_{\text{net}}}{Q_H}$$



$$W_{\text{net out}} = W_{\text{out}} - W_{\text{in}}$$

$$W_{\text{net out}} = Q_{\text{in}} - Q_{\text{out}} = Q_H - Q_L$$

### Example problem



Heat is transferred to a heat engine from a furnace at a rate of 80 MW. If the rate of waste heat rejection to a nearby river is 50 MW, determine the net power output and the thermal efficiency for this heat engine.

**Solution** The rates of heat transfer to and from a heat engine are given. The net power output and the thermal efficiency are to be determined.

**Assumptions** Heat losses through the pipes and other components are negligible.

**Analysis** A schematic of the heat engine is given in Fig. 6–16. The furnace serves as the high-temperature reservoir for this heat engine and the river as the low-temperature reservoir. The given quantities can be expressed as

$$\dot{Q}_H = 80 \text{ MW} \quad \text{and} \quad \dot{Q}_L = 50 \text{ MW}$$

The net power output of this heat engine is

$$\dot{W}_{\text{net,out}} = \dot{Q}_H - \dot{Q}_L = (80 - 50) \text{ MW} = \mathbf{30 \text{ MW}}$$

Then the thermal efficiency is easily determined to be

$$\eta_{\text{th}} = \frac{\dot{W}_{\text{net,out}}}{\dot{Q}_H} = \frac{30 \text{ MW}}{80 \text{ MW}} = \mathbf{0.375} \text{ (or } 37.5\%)$$

**Discussion** Note that the heat engine converts 37.5 percent of the heat it receives to work.